

FORM PTO-1390 (Modified)
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

1303

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5)

09/831744

INTERNATIONAL APPLICATION NO.
PCT/AU99/01004INTERNATIONAL FILING DATE
12 November 1999 (12.11.99)PRIORITY DATE CLAIMED
12 November 1998 (12.11.98)

TITLE OF INVENTION

PHOSPHOLIPASE INHIBITORS FOR THE TREATMENT OF CANCER

APPLICANT(S) FOR DO/EO/US

Albert Peng Tseng
Kevin William Broady

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

Courtesy copy of International Application
Seventeen (17) Sheets of Drawings
Twenty-eight (28) Sheets of Sequence Listings
Assignee: ANALYTICA LTD. of St. Kilda, Victoria 3004, Australia

| | | | | | |
|--|--|--|--|--|--|
| U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) 09/831704 | | INTERNATIONAL APPLICATION NO. PCT/AU99/01004 | | ATTORNEY'S DOCKET NUMBER 13030 | |
|--|--|--|--|--|--|

24. The following fees are submitted:

| BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : | | | CALCULATIONS PTO USE ONLY | |
|---|--------------|--------------|---------------------------|-------------------|
| <input checked="" type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO | \$1000.00 | | | |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO | \$860.00 | | | |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO | \$710.00 | | | |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) | \$690.00 | | | |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) | \$100.00 | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than _____ months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 | | | | |
| | | | \$1,000.00 | |
| | | | \$130.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | |
| Total claims | * 13 - 20 = | 0 | x \$18.00 | \$0.00 |
| Independent claims | * 4 - 3 = | 1 | x \$80.00 | \$80.00 |
| Multiple Dependent Claims (check if applicable). | | | <input type="checkbox"/> | \$0.00 |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$1,210.00 |
| Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2. | | | | \$0.00 |
| SUBTOTAL = | | | | \$1,210.00 |
| Processing fee of \$130.00 for furnishing the English translation later than _____ months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 | | | + | \$0.00 |
| TOTAL NATIONAL FEE = | | | | \$1,210.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). | | | <input type="checkbox"/> | \$0.00 |
| TOTAL FEES ENCLOSED = | | | | \$1,210.00 |
| Claim count based on claims as amended by Preliminary Amendment being filed concurrently herewith | | | Amount to be: | \$ |
| | | | refunded | |
| | | | charged | \$ |
| | | | | |

a. ☒ A check in the amount of \$1,210.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-1013/SSMP A duplicate copy of this sheet is enclosed.

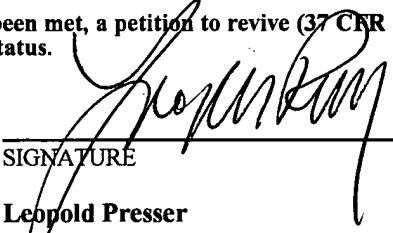
d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Leopold Presser
SCULLY, SCOTT, MURPHY & PRESSER
 400 Garden City Plaza
 Garden City, NY 11530
 (516) 742-4343

SIGNATURE



NAME

Leopold Presser

19,827

REGISTRATION NUMBER

May 11, 2001

DATE

09/831744
10:03 Rec'd PCT/PTO 11 MAY 2001

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): **Albert Peng Tseng, et al.**

Docket No.

13030

Serial No.
unassigned

Filing Date
herewith

Examiner

Group Art Unit
unassigned

Invention: **PHOSPHOLIPASE INHIBITORS FOR THE TREATMENT OF CANCER**

I hereby certify that the following correspondence:

New PCT Application

(Identify type of correspondence)


is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231

May 11, 2001

(Date)

Janet Grossman

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

EL823707010US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.